

JC02 Rec'd PCT/PtO

April 30, 2002

April 30, 2002

Date

		Application Number	10/018,733				
TRANSMITTAL		Filing Date	December 18, 2001				
FORM			First Named Inventor	CAPLYGIN, Dimitri			
(to be used for a	Il correspondence afte	er initial filing)	Group Art Unit	To Be Asigned			
			Examiner Name	To Be Assigned  To Be Assigned  4402-003	VED		
Total Number of Pages in This Submission 250 +			Attorney Docket Number				
		ENCL	OSURES (check	all that apply)	NT1 R R3700		
	claration(s) Request nent Request ure Statement riority	Drawing Licensin Petition Petition Provisio Change Address Termina Reques	nent Papers Application)  ng-related Papers  to Convert to a anal Application of Attorney, Revocation of Correspondence al Disclaimer at for Refund  mber of CD(s)	After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please identify below): Return Receipt Postcard;	" H3700		
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT							
GOTTLIEB RACKMAN & REISMAN PC Raymond B. Churchill, Jr., Reg. No. 44,617							
Signature	Signature Signature						
Date	April 80, 2002						
CERTIFICATE OF MAILING							
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mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

Typed or printed name

Signature



Attorney Docket No.: 4402-003

## ANATHE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant/Inventor

CAPLYGIN, Dimitri

U.S. Serial No.

10/018,733

Filing Date

18 December 2001 (18.12.2001)

Title of Invention

SYSTEM FOR ENHANCEMENT OF

**NEUROPHYSIOLOGICAL PROCESSES** 

Examiner

To Be Assigned

**Group Art Unit** 

To Be Assigned

Assistant Commissioner for Patents Washington, D.C. 20231

## **CERTIFICATE OF MAILING UNDER 37 CFR §1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service via First Class mail service in a post-paid envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231on 4/30/02.

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## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §§1.56, 1.97 AND §1.98

SIR:

Pursuant to the duty of disclosure under 37 CFR §§1.56, 1.97, and 1.98, applicant submits herewith a *Supplemental Information Disclosure Statement* along with (4) cited references listed on Form PTO-1449.

These references were cited in the *International Search Report* dated 8 September 2000 (08.09.2000) issued by the Patent Cooperation Treaty organization in counterpart International Patent Application No. PCT/AU00/00661. A copy of the *International Search Report* was filed by applicant on April 10, 2002.

Inasmuch as the subject application has not yet received a first Office Action on the merits, this information is believed to be timely filed under 37 CFR §1.97(b)(3). Accordingly, consideration of the enclosed references and entry into the record are respectfully requested.

It is believed that no fee for filing this Supplemental *Information Disclosure Statement* is now due. If it is determined that any fee is required, the Commissioner is hereby authorized to charge any such fee to Deposit Account No. 07-1730. A duplicate of this sheet is enclosed herewith.

Dated: April 30, 2002

New York, New York

Respectfully submitted,

GOTTLIEB RACKMAN & REISMAN PC Attorneys for Applicant 270 Madison Avenue New York, New York 10016-0601 Telephone: 212/684-3900

Telefax: 212/684-3999

By:

Raymond B. Churchill, Jr.

Reg. No. 44,617

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

Complete if Known				
Application Number	10/018,733			
Filing Date .	18 December 2001 (18.12.2001)			
First Named Inventor	CAPLYGIN, Dimitri			
Group Art Unit	To Be Assigned			
Examiner Name	To Be Assigned			
Attorney Docket Number	4402-003			

	U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	U.S. Patent Number	Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
	Α	4,474,186		Ledley et al	10.02.1984	See International Search Report		
	В	5,363,154	Α	Galanter et al	11.08.1994	See International Search Report		
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	FOREIGN PATENT DOCUMENTS								
Examiner Cite Initials No.1		Foreign Patent Document  Kind Code <sup>5</sup> Office <sup>3</sup> Number <sup>4</sup> (if known)			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sub>6</sub>	
	U	wo	95/29447	A1	Stephen Brown	11.02.1995	See Intl Search Rot		
	V	wo	98/44848	A1	Teri Lawton	10.15.1998	See Intl Search Rpt		
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Examiner	Date	-
Signature	Considered	

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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. 2 See attached Kinds of U.S. Patent Documents. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.